

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Meang K. CHIA, et al.

RE: Request for Substitute
Papers

Serial No.: 10/002,297

Group Art Unit: 3725

Filed: October 24, 2001

Examiner: unknown

For: "JEWELRY ROPE CHAIN LINK
ELEMENT AND METHODS OF MANUFACTURE"Our Ref.: B-3581CIP4CIP
619161-3

Date of this paper:

April 3, 2002

VIA FACSIMILE**1-703-872-9302(TC3700)****173 PAGES**Box Duplicate TC3700
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202-2327**FAX RECEIVED**

APR 03 2002

GROUP 3700COPY OF PAPERS
ORIGINALLY FILED
March 5 02 T.B

Sir:

In response to the Request for Substitute Papers dated 3/20/2002 (copy enclosed), please find enclosed a copy of the above-identified application papers, as follows:

- 1) the Request for Substitute Papers dated 3/20/2002 signed by Richard P. Berg
- 2) Transmittal letter with Certification under 37 CFR 1.10 (3 pages)
- 3) Check no. 14054 in the amount of \$1,420.00
- 4) 99 pages of Specification, 30 pages of Claims, and 1 page of Abstract
- 5) Declaration/Power (5 pages)
- 6) 30 sheets of formal drawings (Figs. 1, 1A-1F, 2A-2B, 3-6, 7, 7A, 8-11, 12, 12A, 13, 13A-13G, 14-37, 38, 38A-38C, 39-48, 49, 49A, 50-63, 64, 64A, 65-81, 82A-82K, 83-84, 85A-85E, 86-108, 109, 109A, and 110-125)
- 7) Verified Statement Claiming Small Entity Status--Independent Inventor (1 page)
- 8) postcard
- 9) Express Mail Label

RE: Request for Substitute Papers
USSN 10/002,297
April 3, 2002
Page 2

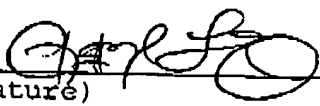
Respectfully submitted,



Richard P. Berg
Attorney for Applicant
Reg. No. 28,145
LADAS & PARRY
5670 Wilshire Boulevard
Suite 2100
Los Angeles, CA 90036
Telephone: (323) 934-2300
Telefax: (323) 934-0202

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office (USPTO) on the date shown below. Specifically, this correspondence is being telefaxed to the USPTO at (703) 872-9302(TC3700) on April 3, 2002.

Cheryl Liang



(Signature)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/002,297	10/24/2001	Chia, Meang	B-3581CIP4CIP 619161-3

KAM C. LOUIE, ESQ.
c/o LADAS & PARRY
Suite 2100
5670 Wilshire Boulevard
Los Angeles CA 90036-5679

Title: Jewelry rope chain link element and methods of manufacture

Date Mailed: 03/20/2002

Request for Substitute Papers

The papers filed on 10/24/2001 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-872-9302(TC3700)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate TC3700, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Richard P. Berg Registration No.: 28,145

Signature: [Signature] Date: 4/3/02

Date: October 24, 2001

Commissioner of Patents and Trademarks
Box New Patent Application
Washington, D.C. 20231

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): (1) MEANG K. CHIA (2) CHEO K. CHIA
(3) HUY K. CHIA

NOTE: Patent must be applied for in the name of all
of the actual inventor or inventors.

For: "JEWELRY ROPE CHAIN LINK ELEMENT AND METHODS OF MANUFACTURE"

This is a continuation-in-part (CIP) of co-pending U.S. Patent
Application No. 09/337,455, filed on June 21, 1999.

Enclosed are:

1. The Papers Required For Filing Date Under 37 CFR 1.53(b):

22 Pages of specification 1 Page of abstract 30 Pages of claims

30 Sheets of drawings [] formal [X] informal
(Figs. 1, 1A-1F, 2A-2B, 3-6, 7, 7A, 8-11, 12, 12A, 13, 13A-13G,
14-37, 38, 38A-38C, 39-48, 49, 49A, 50-63, 64, 64A, 65-81, 82A-82K,
83-84, 85A-85E, 86-108, 109, 109A, 110-125)

[X] In addition to the above papers there is also attached

Postcard
Check for filing fee in the amount of \$1,420.00
Declaration/Power of Attorney (5 pages)
Verified Statement Claiming Small Entity Status--
Independent Inventor (1 page)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed
herein are being deposited with the United States Postal Service in an Express
mail envelope with sufficient postage for Express Mailing on this date
October 24, 2001 in an envelope as "Express Mail Post Office to Addressee"
Filing Label Number EL896635384US addressed to the:

Commissioner of Patents and Trademarks
Box New Patent Application
Washington, D.C. 20231

Karvn Lao

(Typed or printed name of person mailing paper)



(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein
has the number of the "Express Mail" mailing label
placed thereon prior to mailing. 37 CFR 1.10(b).

Application transmittal - Page 1 of 3

☒ Enclosed☒ original☐ facsimile

executed by:

☒ inventor(s)☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. 37 CFR 1.47.☐ petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.☐ Not Enclosed☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.☐ Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration must be filed.**3. Assignment**☐ An assignment of the invention to(with separate cover sheet and separate check for \$40.00)**4. Certified Copy**☐ Certified copy of Application No. from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

5. Fee Calculation

Number Filed	CLAIMS		AS FILED		Rate	Basic Fee \$ 740.00
				Number Extra		
Total Claims	104	-20=		84 x	\$ 18.00	1,512.00
Independent Claims	10	-3=		7 x	\$ 84.00	588.00
Multiple Dependent Claim(s), If Any				0 x	\$280.00	0

☐ Amendment canceling extra claims enclosed☐ Amendment deleting multiple dependencies enclosed☐ Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, 37 CFR 1.16(d).

Filing Fee Calculation \$2,840.00

Application transmittal - Page 2 of 3

[X] Verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ 1,420.00

NOTE: If a verified statement is filed within 2 months of the date of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

7. Fee Payment Being Made At This Time

[] Not Enclosed

[] No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

NOTE: Where the filing is a completion in the U.S. of an international application the fee must be paid.

[X] Enclosed

[X] filing fee

\$ 1,420.00

[] recording assignment

(\$40.00; 37 CFR 1.21(h)(i))

\$ _____

[] petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.
37 CFR 1.47 and 1.17 (h)

\$ _____

Total fees enclosed

\$ 1,420.00

8. Method of Payment of Fees

[X] check in the amount of \$ 1,420.00

[] charge account No. 12-0415 in the amount of \$ _____
A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

9. Authorization to Charge Additional fees

[X] The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:

[X] 37 CFR 1.16 (filing fees and presentation of extra claims)

[X] 37 CFR 1.17 (application processing fees)

[] 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))


NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying... issue fee".

10. Instructions As To Overpayment

[X] Credit Account No. 12-0415 [] refund

c/o LADAS & PARRY
5670 Wilshire Boulevard
Suite 2100
Los Angeles, California 90036-5679

Telephone: (323) 934-2300
Telefax: (323) 934-0202


Richard P. Berg
Attorney
Reg. No. 28,145

Application transmittal - Page 3 of 3

To: USPD (kl)
FROM: **COMMISSIONER OF THE PATENTS**
THE PATENT AND TRADEMARK OFFICE
MAIL ROOM STAMP HEREON ACKNOWLEDGES
RECEIPT OF: New U.S. Patent Appln. consisting of
Transmittal letter with Certification under 37 CFR 1.10
(3 pages); check no. 14054 in the amount of \$1,420.00;
99 pages of Specification;
30 pages of Claims.; 1 page of Abstract; Declaration/Power (5 pages); 30 sheets of
informal drawings (Figs. 1, 1A-1F, 2A-2B, 3-6, 7, 7A, 8-11, 12, 12A, 13, 13A-13G,
14-37, 38, 38A-38C, 39-48, 49, 49A, 50-63, 64, 64A, 65-81, 82A-82K, 83-84, 85A-
85E, 86-108, 109, 109A, 110-125); Verified Statement Claiming Small Entity Status-
-Independent Inventor (1 page); and this postcard.

Our reference:
B-3581CIP4CIP 619161-3

Date mailed:
October 24, 2001

Express Mail No.:
EL896635384US

STAMP

IN CONNECTION WITH:
MEANG K. CHIA, et al.

FOR: "JEWELRY ROPE CHAIN LINK ELEMENT
AND METHODS OF
MANUFACTURE"